



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/675,093
Filed: September 30, 2003
Inventor(s):
Robert P. Rossi

Examiner: Schneider, Joshua D.
Group/Art Unit: 2182
Atty. Dkt. No: 5760-12900

Title: COMPUTER SYSTEM
AND METHOD FOR
PERFORMING LOW
IMPACT BACKUP
OPERATIONS

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated below.

Lawrence J. Merkel

Printed Name

Signature

February 2, 2006

Date

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Applicant requests consideration of ☒ the references listed on the attached Form PTO-1449 and/or ☐ the additional information identified below in paragraph 3.

- ☒ Pursuant to CFR §1.98(a)(2)(i), copies of the cited U.S. patents and/or U.S. patent application publications are not enclosed.
- ☒ A copy of each non-U.S. patent and/or non-U.S. patent application publication reference listed on the Form PTO-1449 is enclosed.
- ☐ The references listed on the Form PTO-1449 were previously cited in application serial no. _____ to which the captioned application is seeking priority under 35 U.S.C. §120; therefore, a copy of each reference is not enclosed.

1. This Information Disclosure Statement is submitted:

- a. ☐ within 3 months of the filing date of a national application other than a continued prosecution application under § 1.53(d);
- ☐ within 3 months of the date of entry of the national stage as set forth in § 1.491 in an International application;
- ☐ before the mailing date of a first Office Action on the merits; or

02/07/2006 RFEKADU1 00000041 501505 10675093

01 FC:1806 180.00 DA

- ☐ before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.
- b. ☒ after the events of above paragraph 1a and prior to the mailing date of a final Office Action or Notice of Allowance, and thus: ☐ the certification of paragraph 2 below is provided, or ☒ a fee of \$180.00 is enclosed.
- c. ☐ after the mailing date of a final Office Action or a Notice of Allowance and prior to payment of the issue fee, and thus: the certification of paragraph 2 below is provided and a fee of \$180.00 is enclosed.

2. It is hereby certified:

- ☐ that each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement, or
- ☐ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in § 1.56 (c) more than three months prior to the filing of the Statement.

3. ☐ Consideration of the following additional information (including any co-pending or abandoned U.S. applications, prior uses and/or sales, etc.) is requested:

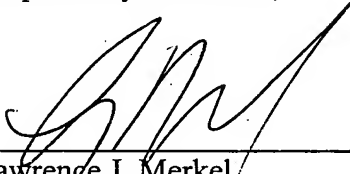
4. For each non-English language reference listed on the attached Form PTO-1449:

- ☐ reference is made to an English language translation submitted herewith, and/or
- ☐ reference is made to a foreign patent office search report (in the English language) submitted herewith, and/or
- ☐ reference is made to an English language translation of a foreign patent office search report submitted herewith, and/or
- ☐ reference is made to the concise explanation contained in the specification of the present application at page(s) _____, and/or
- ☐ reference is made to the concise explanation set forth below:

5. ☐ Applicant also offers the following comments for the Examiner's consideration:
6. ☐ Also enclosed is a copy of a foreign search report citing these references.
7. ☐ The listed documents were brought to the attention of the Applicant(s) after payment of the issue fee in the captioned case. The documents were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. Applicant(s) request this Information Disclosure Statement and attached Form PTO-1449 be placed in the file of the captioned application.
8. ☐ Applicant(s) requests that the Information Disclosure Statement and attached Form PTO-1449 and references, which are being filed before the grant of the patent and pursuant to 37 C.F.R. § 1.97(i), be placed in the file of the captioned application.

If any required fees are missing, the Commissioner is authorized to charge said fees to Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C. Deposit Account No. 50-1505/5760-12900.

Respectfully submitted,



Lawrence J. Merkel

Reg. No. 41,191

AGENT FOR APPLICANT(S)

Meyertons, Hood, Kivlin, Kowert, & Goetzel, P.C.
P.O. Box 398
Austin, TX 78767-0398
Phone: (512) 853-8800
Date: February 2, 2006



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/675,093
Filed: September 30, 2003
Inventor(s):
Robert P. Rossi

Examiner: Schneider, Joshua D.
Group/Art Unit: 2182
Atty. Dkt. No: 5760-12900

Title: COMPUTER SYSTEM
AND METHOD FOR
PERFORMING LOW
IMPACT BACKUP
OPERATIONS

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date indicated below.

Lawrence J. Merkel

Printed Name

February 2, 2006

Signature

Date

FEE AUTHORIZATION

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

The Commissioner is hereby authorized to charge the following fee to Meyertons, Hood, Kivlin, Kowert & Goetzel, P.C. Deposit Account Number 50-1505/5760-12900:

Fee: Information Disclosure Statement
Amount: \$180.00
Attorney Docket No.: 5760-12900

The Commissioner is also authorized to charge any extension fee or other fees which may be necessary to the same account number.

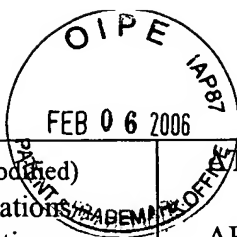
Respectfully submitted,

Lawrence J. Merkel

Reg. No. 41,191

AGENT FOR APPLICANT(S)

Meyertons, Hood, Kivlin, Kowert, & Goetzel, P.C.
P.O. Box 398
Austin, TX 78767-0398
Phone: (512) 853-8800
Date: February 2, 2006

**Form PTO-1449** (modified)

List of Patents and Publications

For Applicant's Information

Disclosure Statement

(Use several sheets if necessary)

PATENT DKT. NO. 5760-12900

APPLICANT: Robert P. Rossi

FILING DATE: September 30, 2003

SERIAL NO. 10/675,093

GROUP: 2182

U.S. PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	B1	6,665,815	December 16, 2003	Goldstein, et al.			
	B2	6,101,585	August 8, 2000	Brown, et al.			
	B3	6,880,051	April 12, 2005	Timpanaro-Perrotta			
	B4	6,847,983	January 25, 2005	Somalwar, et al.			
	B5	6,829,688	December 7, 2004	Grubbs, et al.			
	B8	6,526,434	February 25, 2003	Carlson, et al.			
	B9	2003/0145248	January 31, 2002	McNeil			
	B10	2004/0030852	March 18, 2003	Coombs			
	B11	2003/0177149	March 18, 2002	Coombs			
	B12	2003/0163493	February 22, 2002	Burns			

FOREIGN PATENT DOCUMENTS

EXAM. INITIALS	REF. DES.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES/NO

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

	B13	"VERITAS NetBackup™ ServerFree Agent for Oracle; Version 4.0V", VERITAS Software Corporation, Mountain View, CA, 2001.					
	B14	"VERITAS NetBackup™ ServerFree Agent; Version 4.0V", VERITAS Software Corporation, Mountain View, CA, 2001.					

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the patent owner.